PRIVATE HOME CARE PROVIDER APPLICATION CHECKLIST

For your convenience, an application checklist has been created to outline the required documents for each application submission. Please upload all required documents in the Private Home Care Providers (PHCP) application packet. As a reminder, all policies and procedures must be established as part of the requirements for regulations and readily available upon request.

Upon application submission and payment, if required, you will receive an acknowledgement email. Applications are reviewed in the order they are received by our office. The initial review time frame is *30 business days* from the application submission date.

The official rules for Private Home Care Providers are on record with the Georgia Secretary of State's Office at http://rules.sos.state.ga.us/. A courtesy copy of the rules for Private Home Care Providers can be found on Healthcare Facility Regulation Division website at https://dch.georgia.gov/divisionsoffices/healthcare-facility-regulation/hfr-laws-regulations.

The online application portal can be accessed at https://gahles.dch.georgia.gov/. All correspondence regarding the status of your application will be sent to the email address provided for the contact person on your application. If additional documentation is required, you will receive an email from https://gahles.dch.ga.gov containing a link to the application portal and a verification code. Please open the email, copy the invitation code, and paste it into the provided link to check your application status. Upload the requested documents, confirm that all documents have been uploaded, and click submit. A confirmation email will be sent, indicating that your documents will be reviewed within 14 business days. Failure to upload the requested documents will result in the denial of your application.

For information regarding Change of Ownership (CHOW), review Frequently Asked Questions on DCH website - https://dch.georgia.gov/divisionsoffices/hfrd/facilities-provider-information/hfrd-chow-faq .

For questions regarding PHCP Regulations, adding counties to an existing permit, removal of services, surveys, plan of corrections, permits, facility letters, Administrator and/or contact information update, i.e., email address, phone numbers, email the PHCP Team at hfrd.phcp@dch.ga.gov.

For general application questions, email the HFRD Applications and Waivers Team at hfrd.applicationswaivers@dch.ga.gov.

For questions regarding licensure fees, contact the HFRD Finance Team at hfrd.payments@dch.ga.gov.

Note: Application fees are non-refundable. All licensure fees must be paid in full prior to receiving a permit or license.

Initial

- 1. Notarized Affidavit of Personal Identification
- 2. Copy of photo ID that was shown to the notary public
- 3. Notarized Affidavit of Compliance (select Private Home Care Providers)

- 4. Copy of Business License from local city/county government. The business license must be current with the facility name and address. If you are unable to obtain a business license, provide a written explanation from your local government stating the reason.
- 5. Evidence from Georgia Secretary of State that the corporation, LLC, etc. is registered, if applicable. If not applicable, provide other supporting documentation showing legal authority.
- 6. Satisfactory determination letter, dated within 12 months of the application submission date, 478-290-7103 the DCH Georgia Criminal History Check System (GCHEXS). All administrators and individual owners with 10% or more ownership interest must complete a fingerprint-based background check through GCHEXS. The owner of the facility may request access to GCHEXS by going to GCHEX. For Fingerprint Background Check rules and regulations, visit the Secretary of State website at 111-8-12. For additional information, please visit DCH OIG, or by calling at 404-463-7154 or by emailing at gchexs.user@dch.ga.gov

Note: If there are no individuals that own 10% or more interest, provide a letter on the company letterhead stating this information. The letter must be signed by the owner or owner representative.

7. The Administrator and at least one other employee are required to open a PHCP. A Registered Nurse (RN) is permitted to hold the position as an Administrator, Owner, and Certified Nursing Assistant (CNA).

A RN must be employed as a full time or contracted employee. The required documents for the RN personnel file are:

- Copy of current RN license
- Includes evidence of having a <u>history of no misconduct</u> as described in 111-8-65-.09(5)(a)1. This written statement must be signed and dated by the employee.
- 8. Name, qualifications, and job description (including copy of professional license, if applicable) for the Administrator (Rule 111-8-65-.09. Administration and Organization).

The required documents for the Administrator personnel file are:

- Satisfactory determination letter, dated within 12 months of the application submission date, from the DCH Georgia Criminal History Check System (GCHEXS). For a list of exempted professional healthcare providers, visit DCH OIG.
- Job duties include <u>full authority and responsibility for the operation of the PHCP</u> as described in 111-8-65- .09(3). The job duties must be signed and dated by the employee.
- Evidence of having a <u>history of no misconduct</u> as described in 111-8-65-.09(3)(a)1. This written statement must be signed and dated by the employee.
- Evidence of completion of orientation training as described in 111-8-65-.09(6)(a). The orientation training must include items #1 through #4, signed and dated by the Administrator.
- 9. Licensure fee (see Schedule of Licensure Activity Fees).

Change of Ownership (CHOW)

- 1. Evidence from Georgia Secretary of State that the corporation, LLC, etc. Is registered, if applicable. If not applicable, provide other supporting documentation showing legal authority.
- 2. Copy of the executed legal transaction documents for the business entity (Bill of Sale, closing documents, etc.). This document must be signed by the previous governing body/owner and disclose the effective date of change of ownership/closing.

Note: While the sale is pending, the CHOW application can be submitted and note that the bill of sale will be submitted when the sale is completed. This will allow HFR to start the review process prior to the ownership change.

- 3. Copy of Business license from your local city or county government. The business license must be current with the facility name and address. If you are unable to obtain a business license, provide a written explanation from your local government stating the reason.
- 4. Notarized Affidavit of Personal Identification
- 5. Copy of photo ID that was shown to the notary public
- 6. Notarized Affidavit of Compliance (select Private Home Care Providers)
- 7. Satisfactory determination letter, dated within 12 months of the application submission date, from the DCH Georgia Criminal History Check System (GCHEXS). All administrators and individual owners with 10% or more ownership interest must complete a fingerprint-based background check through GCHEXS. The owner of the facility may request access to GCHEXS by going to GCHEX. For Fingerprint Background Check rules and regulations, visit the Secretary of State website at 111-8-12. For additional information, please visit DCH OIG, or by calling at 404-463-7154 or by emailing at gchexs.user@dch.ga.gov.

Note: If there are no individuals that own 10% or more interest, provide a letter on the company letterhead stating this information. The letter must be signed by the owner or owner representative.

Governing Body Name Change (Not a Change of Ownership)

- 1. Evidence from Georgia Secretary of State that the corporation, LLC, etc. Is registered, if applicable. If not applicable, provide other supporting documentation showing legal authority.
- 2. Notarized Affidavit of Personal Identification
- 3. Copy of photo ID that was shown to the notary public
- 4. Notarized Affidavit of Compliance (select Private Home Care Providers)

Facility Name Change

- 1. If name of the business and governing body is the same, upload a copy of the Georgia Secretary of State, Certificate of Incorporation or Certificate of Organization.
- 2. Notarized Affidavit of Personal Identification
- 3. Copy of photo ID that was shown to the notary public

Relocation (physical)

- 1. Copy of Business license from your local city or county government. The business license must be current with the facility name and address. If you are unable to obtain a business license, provide a written explanation from your local government stating the reason.
- 2. Notarized Affidavit of Personal Identification
- 3. Copy of photo ID that was shown to the notary public

Change of Services (add)

- 1. Notarized Affidavit of Personal Identification
- 2. Copy of photo ID that was shown to the notary public
- 3. Notarized Affidavit of Compliance (select Private Home Care Providers)

Change of Services (remove)

- 1. Notarized Affidavit of Personal Identification
- 2. Copy of photo ID that was shown to the notary public
- 3. Notarized Affidavit of Compliance (select Private Home Care Providers)

Brian P. Kemp, Governor

Russel Carlson, Commissioner

2 Martin Luther King Jr. Dr. SE 17th fl. | Atlanta, GA 30334 | 404-657-5700 | www.dch.georgia.gov

AFFIDAVIT OF COMPLIANCE _____, the undersigned duly authorized representative of Name of Owner/Applicant ____, hereby attest that in furtherance of its application Governing Body Name for licensure, said entity has developed policies and procedures mandated under the rules and regulations indicated below. If the application for licensure is approved by the Department, these policies and procedures shall be implemented immediately by the facility. Additionally, _____ understands that once licensed, it is Governing Body Name subject to unannounced periodic inspections at which time the policies and procedures shall be readily available for review for sufficiency and compliance with applicable rules and regulations. Deficient policies and procedures may subject the facility to sanctions pursuant to Ga. Comp. R. & Regs. 111-8-25. 1) _____ Assisted Living Communities Chapter 111-8-63 2) _____ Home Health Agencies

Narcotic Treatment Programs Chapter 111-8-53

Chapter 111-8-31

Chapter 111-8-37

3) _____ Hospices



| 5) | Personal Care Homes Chapter 111-8-62 | |
|-----------|--|--|
| 6) | Private Home Care Providers Chapter 111-8-65 | |
| | | |
| | | |
| This | _day of, 20 | |
| | | Signature of Authorized Representative |
| | | Business/Facility Name |
| | | |
| | BED AND SWORN ME ON THIS THE | |
| | =20 | |
| NOTARY | | |
| IVIY Comm | ssion Expires: | |

O.C.G.A. § 50-36-1(f)(1)(B) Affidavit

By executing this affidavit under oath, as an applicant for a license, permit or registration, as referenced in O.C.G.A. § 50-36-1, from the Department of Community

Health, State of Georgia, the undersigned applicant verifies one of the following with respect to my application for a public benefit: I am a United States citizen. I am a legal permanent resident of the United States. I am a qualified alien or non-immigrant under the Federal Immigration and Nationality Act with an alien number issued by the Department of Homeland Security or other federal immigration agency. My alien number issued by the Department of Homeland Security or other federal immigration agency is: The undersigned applicant also hereby verifies that he or she is 18 years of age or older and has provided at least one secure and verifiable document, as required by O.C.G.A. § 50-36-1(f)(1)(A), with this affidavit. The secure and verifiable document provided with this affidavit can best be classified In making the above representation under oath, I understand that any person who knowingly and willfully makes a false, fictitious, or fraudulent statement or representation in an affidavit shall be guilty of a violation of O.C.G.A. § 16-10-20, and face criminal penalties as allowed by such criminal statute. Executed in _____(city), _____(state). Signature of Applicant Printed Name of Applicant SUBSCRIBED AND SWORN BEFORE ME ON THIS THE 20___ DAY OF _____ NOTARY PUBLIC My Commission Expires:



Schedule of Licensure Activity Fees

| Licensure Activity | Fee | Frequency |
|---|-------------------|----------------------|
| Application Processing Fees: | \$300 | Upon submission |
| New Application | | |
| Change of Ownership | | |
| Change in Service Level (Requiring on site visit) | | |
| Name Change | | |
| Initial License Fee | Varies by program | Submitted prior to |
| (Same an annual licensure activity fee for each program type) | | issuance of license |
| Involuntary Application Processing fee after unlicensed | \$550 | |
| complaint investigation | 7330 | |
| Follow-up visit to periodic inspection | \$250 | License renewal date |
| | | |
| License Type | | |
| | Fee | Frequency |
| Adult Day Centers | | |
| Social Model | \$250 | Annually |
| Medical Model | \$350 | Annually |
| Ambulatory Surgical Treatment Centers (ASC)* | \$750 | Annually |
| Assisted Living Communities (ALC) | | |
| 25 to 50 beds | \$750 | Annually |
| 51 or more beds | \$1,500 | Annually |
| Birthing Centers | \$250 | Annually |
| Community Living Arrangements*(CLA) | \$350 | Annually |
| Drug Abuse Treatment Programs* (DATEP) | \$500 | Annually |
| End Stage Renal Disease Centers (ESRD) | | |
| 1 – 12 stations | \$600 | Annually |
| 13 - 24 stations | 1,000 | Annually |
| 25 or more stations | \$1,100 | Annually |
| Stand Alone ESRD Facilities Offering Peritoneal Dialysis Only | \$800 | Annually |
| Home Health Agencies*(HHA) | \$1,000 | Annually |
| Hospices*(HSPC) | \$1,000 | Annually |
| Hospitals* | | |
| 1 to 24 beds | \$250 | Annually |
| 25 to 50 beds | \$750 | Annually |
| 51 or more beds | \$1,500 | Annually |
| ICFMRs - Intermediate Care Facilities / MR | \$250 | Annually |
| (private) | | |
| Narcotic Treatment Programs (NTP) | \$1,500 | Annually |
| Memory Care Certificate | \$200 | Annually |
| for Assisted Living/Personal Care Homes | | |



| Nursing Homes | | |
|------------------------------------|-------------|---------------------------|
| 1 to 99 beds | \$500 | Annually |
| 100 or more beds | \$750 | Annually |
| Personal Care Homes (PCH) | | |
| 2 to 24 beds | \$350 | Annually |
| 25 to 50 beds | \$750 | Annually |
| 51 or more beds | \$1,500 | Annually |
| Private Home Care Providers*(PHCP) | Per Service | |
| Companion Sitting | \$250 | Annually |
| Personal Care Services | \$250 | Annually |
| Nursing Services | \$250 | Annually |
| Traumatic Brain Injury Facilities | \$250 | Annually |
| X-ray Registration | \$300 | Initial Registration Only |

MISCELLANEOUS FEES

| Civil monetary penalties as finally determined | | Case-by-case basis |
|--|--------|--------------------|
| Late Fee – 60 days past due | \$150 | Per instance |
| Permit replacement | \$50 | Per request |
| List of Facilities by license type (electronic only) | \$25 | Per request |
| Returned Check Charge- as assessed by bank | < \$50 | Per instance |

ACCREDITATION DISCOUNT INFORMATION

*Eligible for a 25% discount if currently accredited by a nationally recognized accreditation organization approved by the department as having standards comparable to specific state licensure requirements and a complete copy of the current decision is submitted to the department at the time of annual license fee renewal. Currently the department will accept current accreditation at the level Medicare (CMS) accepts for deemed status from a CMS approved organization. Below is the list of the current accreditation organizations approved by this department.

| Accreditation Organization | Program |
|---|--|
| Accreditation Association for Ambulatory Health Care (AAAHC) | Ambulatory Surgery |
| Accreditation Commission for Health Care, Inc (ACHC) | CLA, HHA, Hospice, PHCP |
| American Association for Accreditation of Ambulatory Surgery Facilities (AAAASF) | Ambulatory Surgery |
| American Osteopathic Association Healthcare Facilities Accreditation Program (AOA/HFAP) | CAH, ASC, Hospital |
| Center for Improvement in Healthcare Quality (CIHQ) | Hospital |
| Commission on the Accreditation of Rehabilitation Facilities (CARF) | CLA, DATEP, PHCP |
| Community Health Accreditation Program (CHAP) | Hospice, PHCP |
| Council on Accreditation (COA) | CLA, DATEP |
| Council on Quality and Leadership (CQL) | CLA, DATEP, PHCP |
| Det Norske Veritas Healthcare (DNV Healthcare) | CAH, Hospital |
| The Joint Commission (JC) | ASC, CAH, CLA, DATEP, HHA, Hospice, Hospital, PHCP |